

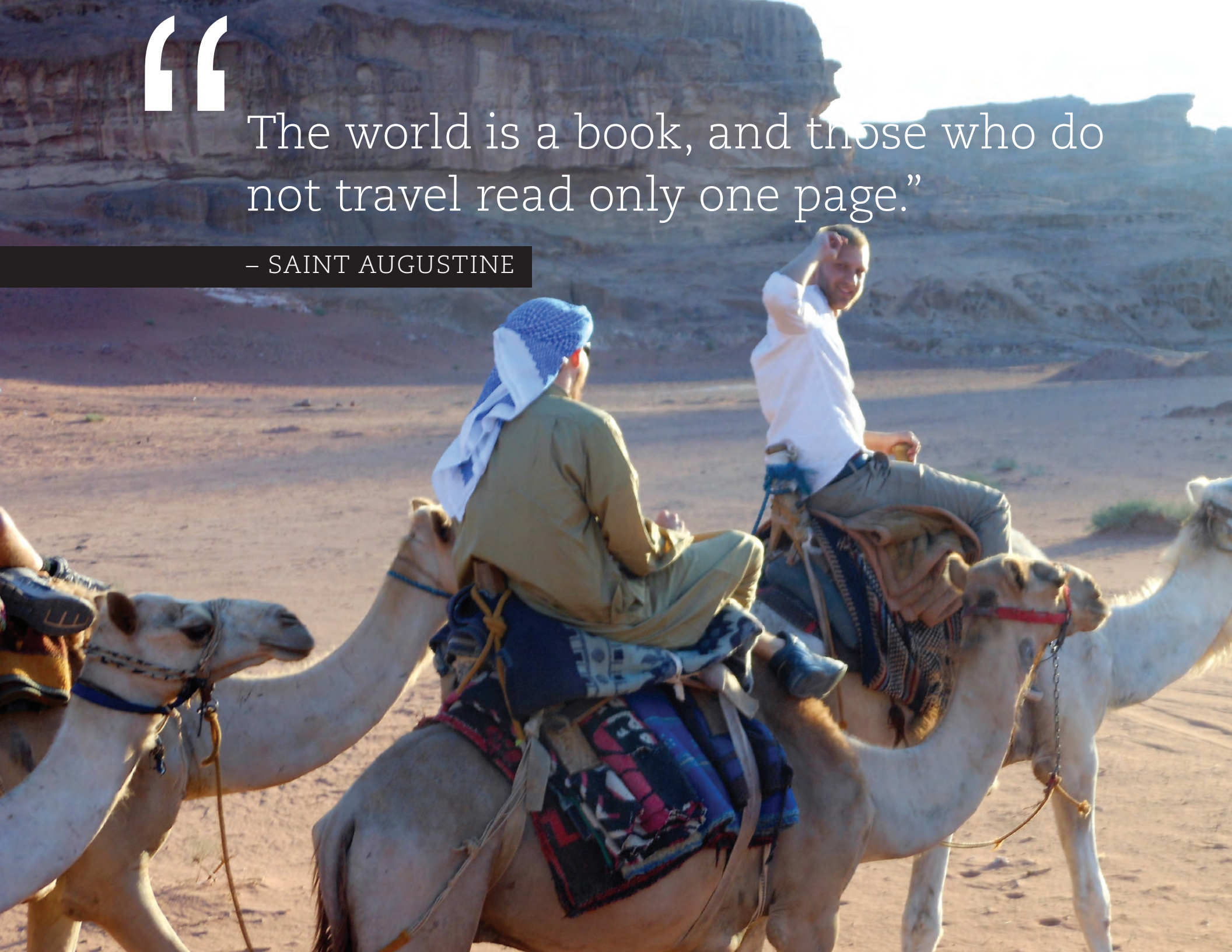
CARROLL COLLEGE Annual Report
| 2013-2014



“

The world is a book, and those who do not travel read only one page.”

– SAINT AUGUSTINE



PROVIDING EXCELLENCE IN GLOBAL EDUCATION

Carroll College's global education initiatives are poised to take flight with the recent \$1.5 million gift from **Gustavo J. Artaza**, President and CEO of International Studies Abroad (ISA).

This transformational, first-of-its-kind gift and subsequent creation of the **Artaza Center for Excellence in Global Education at Carroll College** brings substantial expertise, opportunity and resources to Carroll's expanding global learning initiatives as is prioritized in the college's five-year strategic plan.

"Gustavo Artaza is one of the most innovative leaders in international education today. Few people have created more opportunities for education abroad than Mr. Artaza," said Carroll College President Dr. Tom Evans. "His gift will have an extraordinary impact on Carroll College as it will enable more students and faculty to live and learn abroad. We are most grateful that Mr. Artaza has chosen Carroll College to be the recipient of his generous support that will further our global and digital vision."

The Artaza Center will provide the resources for Carroll College to develop and sustain networks with partner universities and programs around the world and it will serve as the campus hub focused on enhancing international connections for all Carroll students. In addition, the center serves as the new home to WorldMontana, an organization dedicated to promoting global understanding through citizen diplomacy.

Mr. Artaza shared his desire that the Center "create unique programs that incorporate experiential components, such as service learning and internships, as part of their experience. These programs are particularly important to students' education abroad as they provide a direct link to the culture outside of the classroom, while also creating a mutually beneficial relationship between the student and their hosts."

"Through my friendship with Tom Evans I saw how closely aligned the philosophies of ISA and Carroll College are in the field of international education," said Mr. Artaza in sharing the motivation behind his gift to Carroll. "Experiencing other cultures helps prepare students to become our future leaders, which means that we must include an international component in their education. By dedicating one of its strategic initiatives in its Vision 2018 plan to global education, it is clear to me that Carroll College shares the same vision as ISA," said Artaza.

Carroll College graduate Alex Gaiser, class of 2014, in Wadi Rum, Jordan, taking a camel ride at sunset in the desert as part of an ISA excursion. Alex was studying abroad at Amman Al-Ahliyyah University in Amman, Jordan, through ISA during the summer of 2012. While in Jordan, he also completed an ELAP (Experiential Learning Abroad Program) project at a Palestinian refugee camp.

Mr. Artaza, pictured second from left, with his daughter Isabella, Dr. Tom Evans, and Carroll's ISA student ambassadors Brianna Eaton '15 and Emilene Gajowski '16.



Gustavo Artaza is President and CEO of International Studies Abroad. He was born in Asunción, Paraguay, and raised in Houston, Texas, where he received a B.A. in sociology and business law from the University of Texas at Austin. Mr. Artaza founded International Studies Abroad in 1987 and Learning Programs International in 1991 to provide students with high-quality study abroad experiences.

THANK YOU FOR TRANSFORMING OUR CAMPUS THROUGH YOUR GENEROSITY

We celebrate Carroll College's many alumni and friends whose generous gifts, at every level, continue to lift Carroll to new heights in higher education.

Together we are building a college where students are provided with an exceptional education surrounded by supportive, engaged faculty, state-of-the-art facilities and a dynamic, collaborative campus culture.

We are proud of our past and we are energized and inspired by the possibilities awaiting us in the future. It is exciting to see the momentum build as buildings are constructed, classrooms are transformed and academic initiatives take flight.

It is through the power of our family of donors that we have been able to make the progress we have this past year and we are eternally grateful to have the financial support to help bring our plans to fruition. Collectively, we have made great strides in garnering the philanthropic support needed to build both the Hunthausen Activity Center and a new campus chapel, but we are not at the finish line just yet.

Everything we do is with the desire to create an environment that fosters ingenuity, compassion, and spiritual and intellectual growth in the bright and ambitious students who choose to join our family of Saints. And it is our extended family of Saints – alumni, parents, faculty, staff and friends – on whom we rely to make the difference and shape the future of Carroll.

We cannot thank our donors enough for the support shown to Carroll over the years. This generosity is reflected in countless student scholarships, modernized and enhanced classrooms and laboratories, and an enriched curriculum. We never cease to be amazed by the incredible generosity and love shown to Carroll College.

It is a beautiful time for Carroll, and we are proud of all that we, as partners, have accomplished to make the college better than ever before. We thank you for taking this journey with us and we look forward to further advancing and transforming our campus through your generosity, advocacy and vision.



THOMAS M. EVANS, PhD
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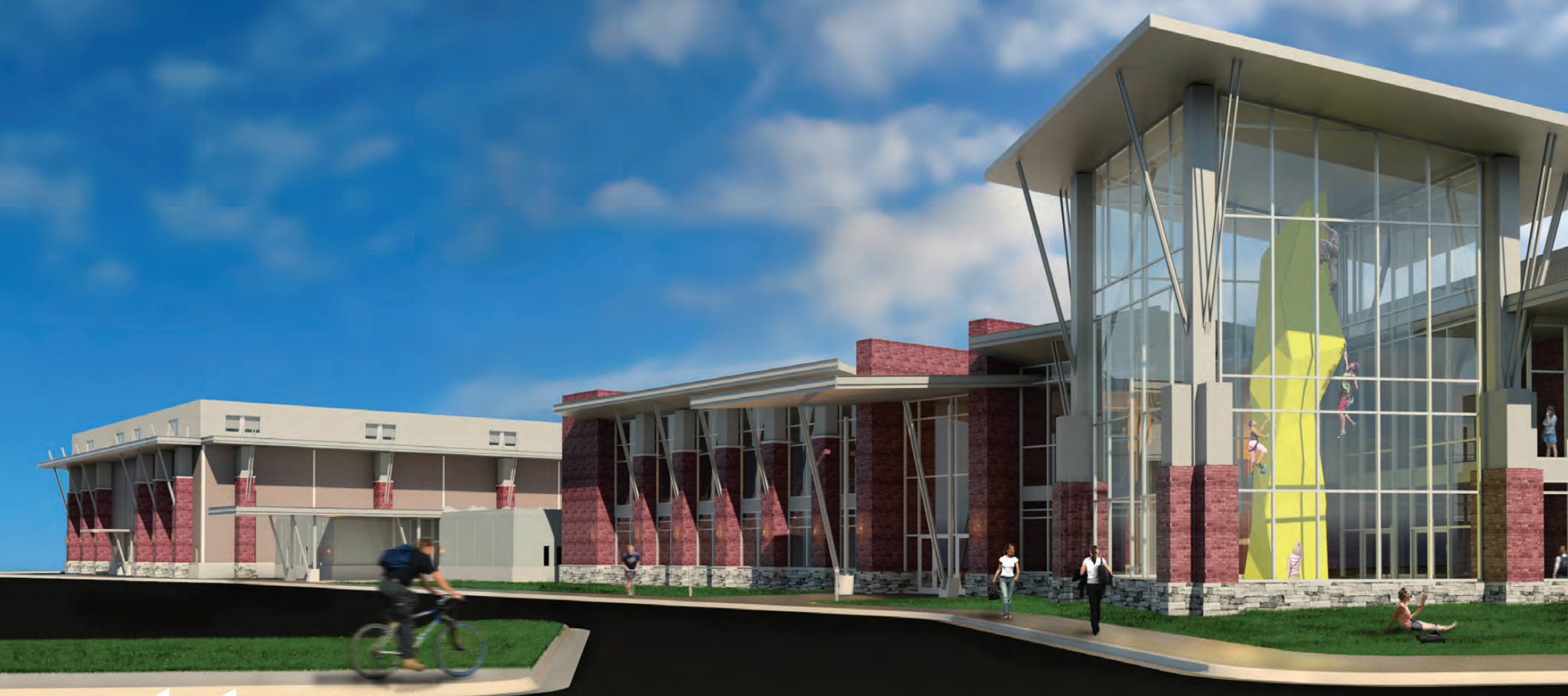
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President and CEO, Montana Rail Link

WILLIAM WAR, '65

Principal, William T. War and Associates





“

The center will serve as a place where bodies are strengthened, friendships are forged and minds are refreshed – a place of re-creation for the whole student.”

– BISHOP GEORGE LEO THOMAS, CHANCELLOR OF THE COLLEGE

STRENGTHENING PHYSICAL & SOCIAL WELL-BEING

Carroll is committed to providing an environment that nourishes and enriches the overall well-being of its students. To assist in this pursuit of ideal mental and physical health, the college aspires to build a facility that brings students together to strengthen both their mind and body and serve as a gathering place for communion and fellowship.

Integral to this effort is the construction of the Hunthausen Activity Center. Fittingly named in honor of Archbishop Raymond Hunthausen, an individual who is the embodiment of the best of Carroll College, **this state-of-the-art facility places significant emphasis on the development of the whole person—in mind, body and spirit.**

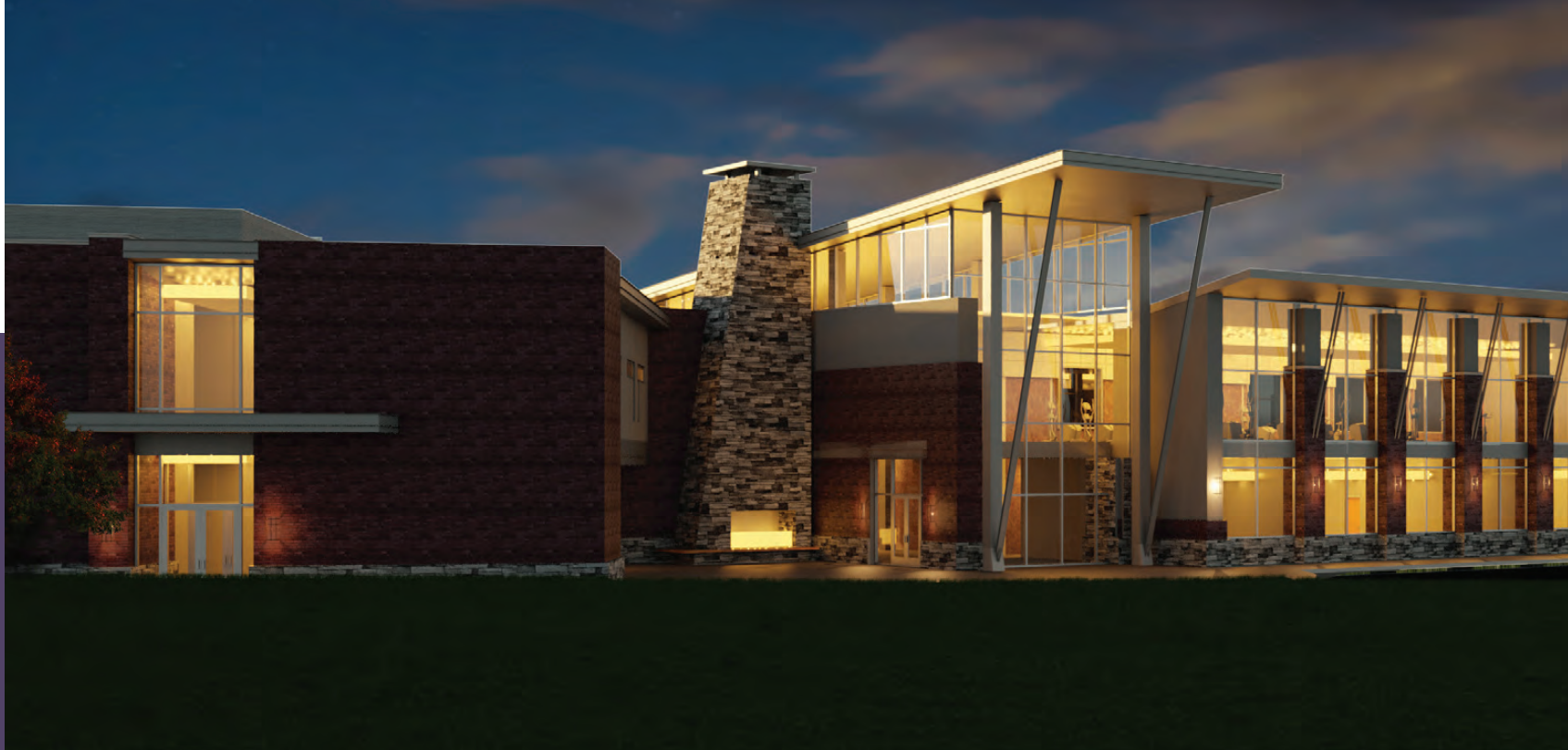
The creation of the Hunthausen Activity Center creates a living legacy for Archbishop Hunthausen. His leadership and dedication to the spiritual, social, emotional and physical needs of students is evident across campus. His desire to see this legacy live on through the creation of the Hunthausen Activity Center will ensure that Carroll College continues to provide a holistic approach to educating and nurturing its students.

Helping to ensure that this center becomes a reality, **Sodexo**, a long-standing partner with the college, provided a substantial investment of \$2.5 million towards its construction. "It is my privilege and honor to be a partner with Carroll," said Rick Waldt, District Manager for Sodexo. "In my 41 years in higher education service, I have never felt so integrally aligned with my clients as I do at Carroll. To be able to bring such significant support to Carroll and see it directly benefit the students is truly rewarding."

With generous support from partners as well as an abundance of charitable gifts from alumni and friends of Carroll, such as devoted donors **Gene and Mary Lou Mallette**, both class of 1971, the Hunthausen Activity Center will become a showcase facility for Carroll. The center is an essential campus improvement which will propel the college forward and make the campus a more appealing, compelling and inviting place to bring more of the nation's best and brightest to Carroll's doors.

"I am so grateful and honored that my name would be attached to such a facility. That it's needed—there isn't any question—a place on campus where the students can gather. It has the ability to foster and deepen that family relationship that I think is so important to the Carroll name."

– Archbishop Raymond Hunthausen



LEGACY SOCIETY

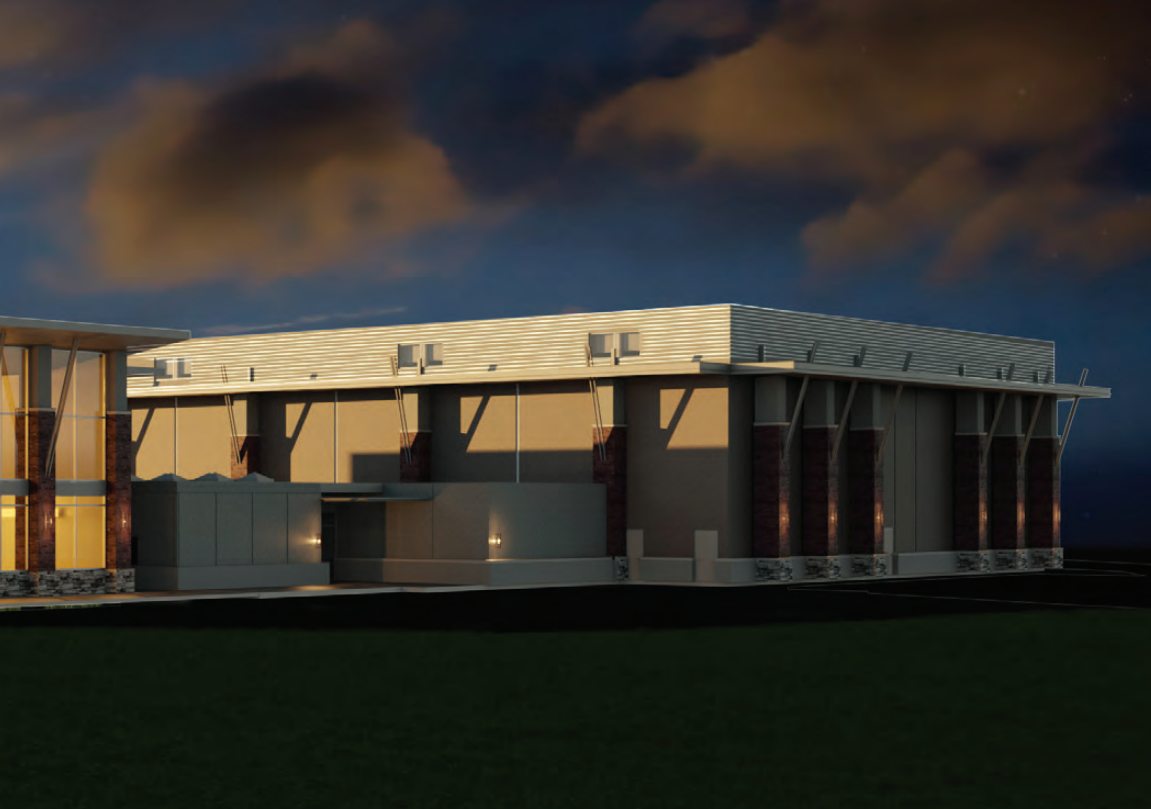
The Legacy Society honors donors who have made a planned gift to Carroll College through trust, bequest or other estate plans. These gifts were made prior to June 30, 2014.

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 Anonymous
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 William and Mary Jo Chase in memory
 of their son Bryan

Mr. and Mrs. George Christensen
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Attending the Hunthausen Activity Center groundbreaking were leaders of the college past and present. From left to right: Dr. Tom Evans (President from 2012-present), Bishop George Leo Thomas (Chancellor of Carroll College), Dr. Paula McNutt (President from 2011-2012), Archbishop Raymond G. Hunthausen (President from 1957-1962), Dr. Tom Trebon (President from 2001-2011), Dr. Frank Kerins (President from 1974-1989), Monsignor Joseph Harrington (President from 1969-1974), and Dannette Sullivan (Chair of the Board of Trustees).



THE 1909 SOCIETY—LIFETIME GIVING

The 1909 Society honors cumulative lifetime giving to Carroll College. These members distinguish themselves as leaders in the Carroll community for their commitment and generosity that provides the college and its students the opportunity to pursue excellence. These gifts were made prior to June 30, 2014.

Excellence - \$1 Million +

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J. Michael and Doris Flinn
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ENHANCING THE ACADEMIC EXPERIENCE

As the college evolves, it must meet the challenge of providing an innovative, technologically advanced and relevant education that is committed to the Carroll tradition of academic excellence.

Carroll has been very blessed to have a cadre of charitable supporters that have helped to equip its current and future students with the technology, resources and space needed for them to compete and achieve success in the 21st century. The improvements made to the library, through the tremendous generosity of **Ron and Tarcella Rickman, Shaun and Mary Ann Corette, and Monsignor James Ribble, PhD**, class of 1953, have allowed the college to adapt and compete in a rapidly changing educational environment.

For the fourth consecutive year, Carroll College was ranked as the #1 regional college in the West by *U.S. News and World Report*. Proud to be a leader in higher education, the Carroll community of faculty, staff and students work diligently to create a learning and living environment that is worthy of preserving this distinction but it cannot happen without the generous support of Carroll's alumni and friends providing the foundation for growth and progress.

In order to stay relevant and foster this progress, Carroll must adapt its students' educational experiences by expanding its curricular offerings to meet the needs of the workforce. To this end, loyal Carroll donors, **Roy**, class of 1962, and **Frances Simperman**, have provided substantial gifts to the college, which have resulted in the creation of two new physics labs to support the college's newly established physics major. Looking to the future, Carroll's first-in-the-country undergraduate Anthrozoology program is garnering wide interest and new facilities are required to support the growing needs of the program.

Carroll is proud and grateful for the opportunities it has been provided to advance and elevate its academic resources and offerings and at the same time the college knows there is more to do to preserve its place as the region's best college.

A woman with brown hair tied back, wearing a purple cardigan over a light pink button-down shirt, is smiling and looking towards a student whose back is to the camera. They are in a classroom with a green chalkboard in the background. The text is overlaid on the left side of the image.

“

Knowledge and virtue are
the armor which {this} college
shall strive to equip students.”

– BISHOP JOHN CARROLL

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MSD

- A-mask -12L
- B-stridor
- C-pulses +1
- D(hea): crying - wop
- E Bum head (entire 1/2 anterior chest)

② S
A
M
P
L

Flowchart
Pediatric Assessment Life Support

General Management for All Patients

- Assess
- Oxygenate
- Treat underlying
- High flow oxygen (aka nebulizer)
- 100% O2 via hood

Upper Airway Obstruction

Aspiration of Foreign Body

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“

I'm hopeful my gift will encourage others to become donors and that a new chapel will serve as a memorial to those who have dedicated their life to Carroll.”

– DR. EARL HELLER, '58

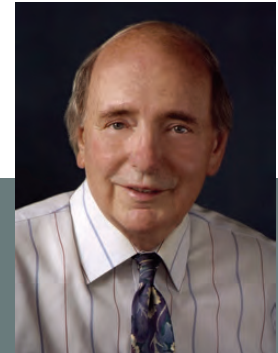
NURTURING SPIRITUAL GROWTH

Carroll encourages students to nurture, explore and affirm their spirituality. As a Catholic, Diocesan college, Carroll embraces the uniqueness of its spiritual tradition, while also affirming all that is good, true and beautiful in every faith tradition. The college is guided by the practice of respect and tolerance for one another and seeks to create opportunities to challenge students to grow spiritually, morally and ethically.

Carroll has been most fortunate to have the generous support of numerous alumni in furthering its commitment to faith, worship and a vibrant campus ministry. Most notably, **Dr. James and Joan Schneller**, classes of 1956 and 1957, and **Dr. Earl Heller**, class of 1958, have been instrumental in contributing significant funding to the college, thus providing the momentum to begin planning in earnest for a new campus chapel.

In addition to the chapel, Carroll is looking to expand and improve upon its ministry programs and additional places of prayer and personal reflection. The Grotto of Our Lady of the Immaculate Conception, which provides a quiet, outdoor setting for reflection, peace and inspiration, is looking to add improvements, which will make it larger and more accessible for worship. The college is also exploring a partnership with the Catholic Conversation Project (CCP) to become the “western home” of CCP thus joining the ranks of prestigious Catholic institutions such as Boston College.

The vitality and growth of Carroll’s spiritual and faithful life rests in the hands and hearts of those willing to help see these projects through to fruition. Carroll strives to guide its students in spiritual and faithful ways to prepare graduates to be rich in character and grace and work to become a positive influence in the world around us.



DR. EARL HELLER – 2015 INSIGNIS AWARD RECIPIENT

Carroll College is honoring Dr. Earl Heller, class of 1958, with the 2015 Insignis Award in recognition of his generous financial contributions to the college over the years which have greatly supported Carroll’s Catholic identity and mission.

The Carroll College Insignis Award was established in 1993 to recognize the service and financial contributions of friends of the college and the significant impact they have on the institution and its mission. The Latin word Insignis was chosen for this award because of its meaning – distinguished, remarkable, and notable. The recipients personify this meaning.

Dr. Heller, a longtime South Bend, Indiana, orthopedic surgeon, is an extraordinary supporter and friend of the college. The highlight of his giving was one of the college’s largest donations in college history, a \$1 million gift given to his alma mater in December 2012. The Christmas donation was made with the direction that it be used to begin the process of establishing a new chapel at Carroll College. Dr. Heller is deeply committed to Carroll’s Catholic heritage and identity and is hopeful that a new chapel “*will honor those who have worked so hard to make Carroll such a great place of learning.*”

The award will be presented to Dr. Heller at the President’s Dinner on February 27, 2015.

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2014 SENIOR GRATEFUL SAINTS

The Carroll College Grateful Saints student philanthropy program creates awareness among current students about the importance of philanthropy and the effect it has on their Carroll education and experience.

Events throughout the academic year seek to develop gratitude and cultivate giving among the student body. In their senior year at Carroll, students are invited to participate in the Senior Grateful Saints campaign by making their first gift back to their college. To acknowledge their gift in the amount of their class year – \$20.14 or more – Senior Grateful Saints donors receive a star on their alumni walk brick.

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“I gave during my senior year through the Senior Grateful Saints campaign because I wouldn’t have gotten this far without all of the help I’ve received from others and want to pay that forward. All members of the class of 2014 that donated showed that they are truly Grateful Saints and have helped out future students who will have a wonderful experience at Carroll, just as we have.”

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Thank you so much for what you do! Many of us wouldn't be able to be here if it weren't for your generosity. So far, my freshman experience has been such a blessing! Thank you for allowing me the opportunity.”

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June 30,	2014	Restated 2013
ASSETS		
Current assets:		
Cash and cash equivalents	\$ 3,248,838	\$ 3,400,512
Student accounts receivable (net of allowance of \$167,032 and \$154,216 at June 30, 2014 and 2013, respectively)	135,370	183,725
Other receivables	798,684	832,860
Pledges receivable (net of allowance of \$38,583 and \$41,108 at June 30, 2014 and 2013, respectively)	616,591	349,333
Bookstore inventory	307,684	352,168
Assets held for sale	—	593,488
Prepaid expenses	353,980	233,055
Other current assets	108,080	101,326
Total current assets	5,569,227	6,046,467
Other assets:		
Student Perkins notes receivable (net of allowance of \$589,428 and \$533,941 at June 30, 2014 and 2013, respectively)	1,946,498	1,776,057
Non-liquid assets	169,395	314,717
Long-term pledges receivable (net of allowance of \$17 and \$6,319 and net of discount of \$49,561 and \$9,979 at June 30, 2014 and 2013, respectively)	638,105	224,502
Long-term investments	42,910,335	35,972,385
Interest in charitable trusts	4,764,269	4,477,885
Other planned gift assets	520,000	520,000
Collections (works of art, tapestry, books)	226,272	223,461
Construction in progress	2,735,879	1,580,253
Property and equipment (net of accumulated depreciation of \$40,389,750 and \$38,214,425 at June 30, 2014 and 2013, respectively)	35,402,960	29,934,396
Total other assets	89,313,713	75,023,656
Restricted cash and cash equivalents:		
Perkins loan program	84,951	143,516
Donor stipulated	120,640	140,640
Bond reserves, net of current portion	—	101,102
Total restricted cash and cash equivalents	205,591	385,258
Total assets:	\$ 95,088,531	\$ 81,455,381

June 30,	2014	Restated 2013
LIABILITIES AND NET ASSETS		
Current liabilities:		
Accounts payable	\$ 1,373,260	\$ 1,685,202
Accrued payroll and related liabilities	1,659,775	1,566,011
Student deposits	81,834	53,633
Deferred revenue	1,346,038	1,785,542
Current portion of planned gift liabilities	205,810	200,550
Current portion of bonds and notes payable	685,433	552,552
Total current liabilities	5,352,150	5,843,490
Other liabilities:		
Present value of planned gift liabilities, net of current portion	1,051,146	1,020,696
Other liabilities	30,058	30,058
Fair value of swap contract	2,352,737	2,415,455
Bonds and notes payable, net of current portion	17,415,781	13,936,225
Total other liabilities	20,849,722	17,402,434
Total liabilities	26,201,872	23,245,924
Net assets:		
Unrestricted:		
Undesignated	9,517,875	10,688,017
Board designated for operations	2,661,665	—
Board designated for endowments	13,677,340	11,274,806
Total unrestricted net assets	25,856,880	21,962,823
Temporarily restricted	18,190,944	12,738,749
Permanently restricted	24,838,835	23,507,885
Total net assets	68,886,659	58,209,457
Total liabilities and net assets	\$ 95,088,531	\$ 81,455,381



KARIN OLSEN
Vice President of Philanthropy
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kjolsen@carroll.edu

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It is exciting to belong to a community where philanthropy thrives in the hearts of so many. Our students, faculty and staff, alumni, friends and neighbors, foundations and corporations, as well as small businesses, **believe in the power of giving**. We are fortunate to have an innovative, effective fundraising staff, President Evans and Board of Trustees to move our efforts forward.

Carroll College is grateful for every gift we receive because **each gift is an investment in our students**. Annual gifts of all amounts are pooled together to make a big IMPACT on the programs and projects that directly affect our students and faculty.

The Annual Report reflects the importance our alumni and friends place on an excellent education, and how your time, talent and treasure make a difference in our work. Every day, the impact of your gift is realized in the lives of our students and the Carroll community. Just ask the students that couldn't have attended college without the support from our endowed scholarships. Because of philanthropy, we have embraced the ever-changing technology on campus and the Corette Library, in particular, has been updated with two new multi-media classrooms with a gift from Ron and Tarcella Rickman, providing our campus with innovative teaching and learning space. The lower level has been enhanced with new "wired" study rooms and furniture through the support of Shaun and Mary Ann Corette. We also have a new state-of-the-art chemistry lab that includes equipment like the NMR (nuclear magnetic resonance spectrometer) seldom found at schools of Carroll's size and was provided through the generous funding of the E.L. Wiegand Foundation. The college was the recipient of a leadership gift from Roy and Frances Simperman that will provide our students and faculty with two new physics labs to support this new academic major at the college.

Just before kick-off of the long-standing Carroll College-Montana Tech football rivalry, we held a ceremonial groundbreaking for the Hunthausen Activity Center in September, having reached 70% of our fundraising goal. Further, the college honored Gustavo Artaza in October for his very generous gift to Carroll, which established the Artaza Center for Excellence in Global Education.

Things are happening here at Carroll! We have a future with a promise of excellence and I would like to take this opportunity to personally THANK YOU for your continued support and generosity.

Kind regards,

KARIN J. OLSEN, M.A., CFRE
VICE PRESIDENT OF PHILANTHROPY



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